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Applicant : Bardia Pezeshki
Application No. : 10/051,391
Filed : January 16, 2002
Title : TUNABLE OPTICAL DEVICE USING A SCANNING MEMS MIRROR

Confirmation No. 9619

Grp./Div. : 2877
Examiner : Michael A. Lyons
Docket No. : 47731/DMC/S965
Customer No. : 23363

INFORMATION DISCLOSURE STATEMENT WITH FEE
UNDER 37 CFR §§ 1.97(c) AND 1.17(p)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Post Office Box 7068
Pasadena, CA 91109-7068
September 3, 2003

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Enclosed is the processing fee of \$180 as required by 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No.

09/08/2003 CMGUYEN 00000066 10051391

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180.00 DP

03-1728. Please show our docket number with any Deposit Account transaction. **A copy of this paper is enclosed.**

By Daniel M. Cavanagh
 Daniel M. Cavanagh
 Reg. No. 41,661
 626/795-9900

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INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number

47731/DMC/S965

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Applicant(s)

Bardia Pezeshki

Group Art Unit

2877

Examiner Name

Michael A. Lyons

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,428,635	06-27-1995	Zhiglinsky et al.
		5,689,361	11-18-1997	Damen et al.
		5,793,912	08-11-1998	Boord et al.
		6,091,537	07-18-2000	Sun et al.
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		US 6,227,724 B1	05-08-2001	Verdiell
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		US 6,433,390 B1	08-13-2002	Hara
		US 6,597,827 B1	07-22-2003	Brener et al.
		US 2002/0018334 A1	02-14-2002	Hill et al.
		US 2002/0141690 A1	10-03-2002	Jin et al.
		US 2002/0171901 A1	11-21-2002	Bernstein

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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EXAMINER SIGNATURE		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4 ³ Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.			

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